



in cooperation with



**THE GREY ARROW**  
CORPORATE ADVISORS

Continuing Professional Development (**CPD**) Training Courses

E-Courses: Starting from 10<sup>th</sup> of November 2020, the below mentioned e-courses will be available at FiveComply website for the interested parties to read and learn at their own pace and time the presented material.

## Course Details

Course Title	Duration	Language	Venue
AML Fundamentals	5CPDs	English	E-course
CySEC AML Directive Requirements	5CPDs	English	E-course
Product Governance, Appropriateness & Suitability Test	5CPDs	English	E-course
Best Execution	5CPDs	English	E-course

Available from 10<sup>th</sup> of November 2020 at <https://fivecomply.com/professional-training/> for any person registered to any of the above mentioned e-courses. Each registered person will receive its unique username and password which can use to enter to the training section of FiveComply website to read and learn the presented material. After each course there is a short questionnaire in order to confirm that you have comprehended the material.

The objective of the Continuing Professional Development (CPD) courses is to gain knowledge and keep up to date with the latest developments of Anti-Money Laundering and Financial Services regulatory framework. The CPD courses are addressed to persons involved in the Finance, Fiduciary and Corporate industries. Participants will be provided with questions at the end of each CPD course.

Employees within the services industry must remain in compliance with specific regulations imposed on the different industries. Frequent employee training ensures these employees remain qualified for their jobs and always in compliance, even as the regulations change frequently.

**Company**

FiveComply  
Licensing, Compliance, Risk  
Management Internal Audit  
solutions

**Address**

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**Website / Email**

[www.fivecomply.com](http://www.fivecomply.com)  
[compliance@fivecomply.com](mailto:compliance@fivecomply.com)

## E-courses Content

### AML Fundamentals – 5 CPDs

#### Analysis of AML/CFT Law

- a) What are the requirements of KYC collection?
- b) Transaction Monitoring requirements
- c) Internal control, risk assessment and risk management
- d) Offences, Criminal Liability and Penalties
- e) Sources of information to identify and assess ML and TF

### CySEC AML Directive requirements – 5 CPDs

#### Analysis of CySEC AML Directive issued on 27.03.2020. Specific attention will be given to Risk based approach, Risk Categorisation, Sanctions Lists.

- a) What are the requirements of Risk Based approach?
- b) What are the requirements of risk categorisation?
- c) How risk categorisation should be implemented?
- d) Ongoing monitoring of accounts and transactions
- e) Sanction Lists and how the obliged entities should consider them

### Product Governance, Appropriateness and Suitability Test – 5 CPDs

#### Analysis of ESMA guidelines on Product Governance, Appropriateness and Suitability Test.

- a) What are the Product Governance requirements?
- b) What are the requirements of appropriateness and suitability tests?
- c) What are the differences between them?
- d) How an effective risk scoring can be achieved?
- e) Obligations of CIFs who provide services through the medium of another IF

### Best Execution – 5 CPDs

#### Analysis of Best Execution MiFID II requirements.

- a) Organizational requirements
- b) General principles and information to clients
- c) Best Execution criteria
- d) Best Execution obligations
- e) Execution Policy

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## Registration Form

*Please tick the boxes for the courses that you are interested for and complete the registration form below*

Course Title	
AML Fundamentals	<input type="checkbox"/>

Course Title	
CySEC AML Directive Requirements	<input type="checkbox"/>

Course Title	
Product Governance, Appropriateness & Suitability Test	<input type="checkbox"/>

Course Title	
Best Execution	<input type="checkbox"/>

No.	Name	Email	Telephone Number

*Please send the completed registration form at [support@fivecomply.com](mailto:support@fivecomply.com) specifying as well the details where the relevant invoice will be issued.*

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

### Cost

EUR80 + VAT per 5 CPDs per person

Any person who will attend at least 3 courses, will receive 10% discount

Invoices will be issued upon receiving the completed registration form.

### Disclaimer

FiveComply is a GDPR compliant firm. We recognize the need to treat your Personal Data in an appropriate and lawful manner in accordance with GDPR regulation. We will collect your Personal Data in order to process your application to participate in the aforementioned courses. FiveComply will not share your Personal Data with anyone else.

Please read carefully our Privacy Policy [here](#).

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