



Continuing Professional Development (**CPD**) Training Courses

E-Courses: The below mentioned e-courses will be available at FiveComply website for the interested parties to read and learn at their own pace and time the presented material.

Course Details

Course Title	Duration	Language	Venue
AML Fundamentals	5CPDs	English	E-course
CySEC AML Directive Requirements	5CPDs	English	E-course
Safeguarding Of Client's Financial Instruments And Funds & Inducements	5CPDs	English	E-course
Best Execution	5CPDs	English	E-course
EU Restrictive Measures Regulations	5CPDs	English	E-course
The new IFD/IFR regulatory requirements and implications in the ICAAP process	5CPDs	English	E-course
Risk-based approach under AML legislation	5CPDs	English	E-course

Available at <https://fivecomply.com/professional-training/> for any person registered to any of the above mentioned e-courses. Each registered person will receive its unique username and password which can use to enter to the training section of FiveComply website to read and learn the presented material. After each course there is a short questionnaire in order to confirm that you have comprehended the material.

The objective of the Continuing Professional Development (CPD) courses is to gain knowledge and keep up to date with the latest developments of Anti-Money Laundering and Financial Services regulatory framework. The CPD courses are addressed to persons involved in the Finance, Fiduciary and Corporate industries. Participants will be provided with questions at the end of each CPD course.

Employees within the services industry must remain in compliance with specific regulations imposed on the different industries. Frequent employee training ensures these employees remain qualified for their jobs and always in compliance, even as the regulations change frequently.

Company

FiveComply
Licensing, Compliance, Risk
Management Internal Audit
solutions

Address

John Kennedy str. Iris
House, Office 740B, 3106
Limassol, Cyprus.

Phone / Fax

+357 25 581 905
+357 25 591 900

Website / Email

www.fivecomply.com
support@fivecomply.com

E-courses Content

AML Fundamentals – 5 CPDs

Analysis of AML/CFT Law

- a) What are the requirements of KYC collection?
- b) Transaction Monitoring requirements
- c) Internal control, risk assessment and risk management
- d) Offences, Criminal Liability and Penalties
- e) Sources of information to identify and assess ML and TF

CySEC AML Directive requirements – 5 CPDs

Analysis of CySEC AML Directive issued on 27.03.2020. Specific attention will be given to Risk based approach, Risk Categorisation, Sanctions Lists.

- a) What are the requirements of Risk Based approach?
- b) What are the requirements of risk categorisation?
- c) How risk categorisation should be implemented?
- d) Ongoing monitoring of accounts and transactions
- e) Sanction Lists and how the obliged entities should consider them

Safeguarding Of Client's Financial Instruments and Funds & Inducements – 5 CPDs

Analysis of CySEC Law, Directive DI87-01 and CySEC Circular C458

- a) What are the regulations of safeguarding of clients' financial instruments and funds?
- b) Depositing clients' funds?
- c) Reconciliation of clients' funds?
- d) Use of clients' financial instruments?
- e) Inducements regulations

Best Execution – 5 CPDs

Analysis of Best Execution MiFID II requirements.

- a) Organizational requirements
- b) General principles and information to clients
- c) Best Execution criteria
- d) Best Execution obligations
- e) Execution Policy

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The new IFD/IFR regulatory requirements and implications in the ICAAP process – 5 CPDs

Analysis of the new IFD/IFR requirements and the implications in the ICAAP process

- a) Analysis of IFR/IFD requirements
- b) New process of ICAAP / ILAAP
- c) Ongoing requirements of the companies
- d) Introduction to ILAAP

Risk-based approach under AML legislation – 5 CPDs

Analysis of Risk Based Approach Requirements under the AML legislation

- a) Application of measures and procedures on a risk based
- b) Identification, recording and evaluation of risks Best Execution criteria
- c) Design and implementation of measures and procedures to manage and mitigate the risks
- d) Monitoring and improving the measures and procedures

EU Restrictive Measures Regulations – 5 CPDs

Analysis of the EU Restrictive Measures

- a) Types of sanctions
- b) Restrictive Measures against Russia
- c) Applicable EU regulations analysis
- d) The appropriate approach for the companies to comply with these measures

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Registration Form

Please tick the boxes for the courses that you are interested for and complete the registration form below

Course Title	
AML Fundamentals	<input type="checkbox"/>

Course Title	
CySEC AML Directive Requirements	<input type="checkbox"/>

Course Title	
Safeguarding Of Client’s Financial Instruments and Funds & Inducements	<input type="checkbox"/>

Course Title	
Best Execution	<input type="checkbox"/>

Course Title	
The new IFD/IFR regulatory requirements and implications in the ICAAP process	<input type="checkbox"/>

Course Title	
EU Restrictive Measures Regulations	<input type="checkbox"/>

Course Title	
Risk-based approach under AML legislation	<input type="checkbox"/>

No.	Name	Email	Telephone Number

Please send the completed registration form at support@fivecomply.com specifying as well the details where the relevant invoice will be issued.

Company Name (if applicable): _____

Name (Authorised person): _____

Signature: _____

Date: _____



Cost

EUR80 + VAT per 5 CPDs per person

Any person who will attend at least 3 courses, will receive 10% discount

Invoices will be issued upon receiving the completed registration form.

Disclaimer

FiveComply is a GDPR compliant firm. We recognize the need to treat your Personal Data in an appropriate and lawful manner in accordance with GDPR regulation. We will collect your Personal Data in order to process your application to participate in the aforementioned courses. FiveComply will not share your Personal Data with anyone else.

Please read carefully our Privacy Policy [here](#).

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